

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
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Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

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DEC 01 2003

Art Unit: 2171

Technology Center 2100

Examiner: H Thai

Search string: (5680636 or 5727129 or 5838914 or 5893132
or 6049812 or 6195694 or 6199082 or 6486895
or 6549220 or RE34476 or 5471568 or 5719595
or 5940080).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

11/28/2003 GWDRDDF1 00000070 190733 09455806

01 FC:1806 180.00 DA

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	5680636	1997-10-21	Levine et al.		1	
	2	5727129	1998-03-10	Barrett et al.		1	
	3	5838914	1998-11-17	Carleton et al.		1	
	4	5893132	1999-04-06	Huffman et al.		1	
	5	6049812	2000-04-11	Bertram et al.		1	
	6	6195694	2001-02-27	Chen et al.		1	

7	6199082	2001-03-06	Ferrel et al.	—
8	6486895	2002-11-26	Robertson et al.	—
9	6549220	2003-04-15	Hsu et al.	—
10	RE34476	1993-12-14	Norwood	—
11	5471568	1995-11-28	Webb et al.	—
12	5719595	1998-02-17	Hoddie et al.	—
13	5940080	1999-08-17	Ruehle et al.	—

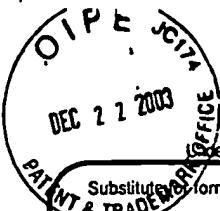
Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

Examiner Name	Date
Huehle	12/16/04



Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

<p style="text-align: center;">INVENTOR & TRADEMARK INFORMATION</p> <p style="text-align: center;">Substitute for Form 1449A/PTO</p> <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				Complete if Known	
				Application Number	09/455,806
				Filing Date	December 7, 1999
				First Named Inventor	John L. BEEZER et al.
				Art Unit	2776
				Examiner Name	H. Thai
Sheet	1	of	3	Attorney Docket Number	003797.84617

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
AT		US- 5,473,742	12-05-1995	Polyakov et al.	
		US- 5,625,833	04-29-1997	Levine et al.	
		US- 5,729,687	03-17-1998	Rothrock et al.	
		US- 5,832,263	11-03-1998	Hansen et al.	
		US- 6,018,742	01-25-2000	Herbert, III	
		US- 6,054,990	04-25-2000	Tran	RECEIVE
		US- 6,088,481	07-11-2000	Okamoto et al.	DFC 24 2000
		US- 6,118,437	09-12-2000	Fleck et al.	
		US- 6,128,007	10-03-2000	Seybold	
		US- 6,239,792	05-29-2001	Yanagisawa et al.	Technology Center
		US- 6,262,719	07-17-2001	Bi et al.	
		US- 6,279,014	08-21-2001	Schilit et al.	
		US- 6,289,362	09-11-2001	Van Der Meer	
		US- 6,335,727	01-01-2002	Morishita et al.	
		US- 6,340,967	01-22-2002	Maxterd	
		US- 6,405,221	06-11-2002	Levine et al.	
AT		US- 6,571,295	05-27-2003	Sidana	
		US-			
		US-			
		US-			

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DEC 24 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Bailey

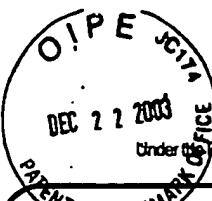
**Date
Considered**

12/16/04

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PTEN TRADEMARK OFFICE 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

C mpt if Known

Application Number	09/455,806
Filing Date	December 7, 1999
First Named Inventor	John L. BEEZER et al.
Group Art Unit	2776
Examiner Name	H. Thai

DEC 24 2003

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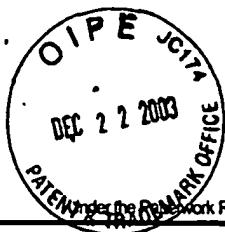
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
WFT	..	"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
		SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999, http://www.softbook.com/consumer/reader.asp	
	✓	Rocket eBook Using the Rocket eBook; 1999, http://www.rocketbook.com/Products/Faq/using.html	
	✓	Explore the Features of the Rocket eBook; wysiwyg://212 http://www.rocketbook.com/Products/Tour/index.html	
	✓	CRESPO, CHANG, and BIER, "Responsive Interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", Computer Networks and ISDN Systems, pages 1508-1517	
	✓	KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
	✓	Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
	✓	KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
	✓	Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
	✓	Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
WFT	✓	Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

Examiner Signature	<i>Hanh Thai</i>	Date Considered	12/16/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number 09/455,806

Filing Date December 7, 1999

First Named Inventor

Group Act Unit

Examiner Name

Examiner Name: W. W. WATSON

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REF. 241003

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Hawthorne	Date Considered	12/16/04
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***EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

Art Unit: 2171

Examiner: H Thai

Search string: (5437295 or 6018342 or 6647534).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HT	1	5437295	1994-09-13	Aguilnick et al.	—	—	—
HT	2	6018342	2000-01-25	Bristor	—	—	—
HT	3	6647534	2003-11-11	Graham	—	—	—

Remarks

Note: Remarks are not for responding to an office action.

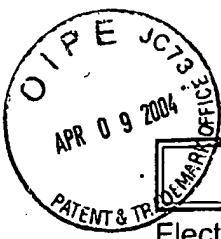
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Signature

Examiner Name	Date
<i>Haubrich</i>	12/16/04

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APR 13 2004

Examiner: H Thai

Search string: (6697997 or 6585776 or 6457013 or 6370497
or 6266772 or 6163778 or 6038598).pn.

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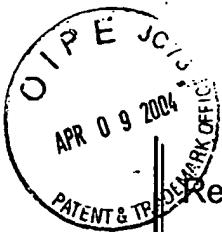
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US Patent Documents

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HT	1	6697997	2004-02-24	Fujimura			
HT	2	6585776	2003-07-01	Bates et al.			
HT	3	6457013	2002-09-24	Saxton et al.			
	4	6370497	2002-04-09	Knowles			
	5	6266772	2001-07-24	Suzuki			
	6	6163778	2000-12-19	Fogg et al.			
HT	7	6038598	2000-03-14	Danneels			

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Signature

Examiner Name	Date
Hanklin	12/16/04

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Attorney Docket Number: 003797.84617

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Art Unit: 2171

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Examiner: H Thai

Search string: (6076917 or 6154771 or 6437793).pn.

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HT	1	6076917	2000-06-20	Wen	—	—	—
HT	2	6154771	2000-11-28	Rangan et al.	—	—	—
HT	3	6437793	2002-08-20	Kaasila	—	—	—

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Examiner Name	Date
<i>Hanklun</i>	12/16/04

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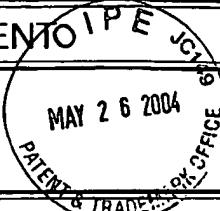
MAY 18 2004

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	5822720	1998-10-13	Bookman et al.	—	—	—

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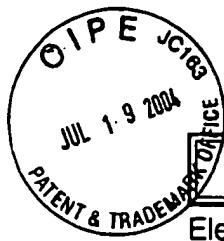
Signature

Examiner Name	Date
<i>Hankins</i>	12/16/04

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<h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>NT</td><td>1</td><td>6144991</td><td>2000-11-07</td><td>England</td><td></td><td>—</td><td>—</td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	NT	1	6144991	2000-11-07	England		—	—
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																
NT	1	6144991	2000-11-07	England		—	—																
<h3>Remarks</h3> <p>Note: Remarks are not for responding to an office action.</p> <p>In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish</p>																							

patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Haulihua</i>	12/16/04